



**Positioning Accuracy Improvement Effect
Based on Multiple Observations of
Doppler shift for User Position Detection
System Using Unmanned Aerial Vehicles**

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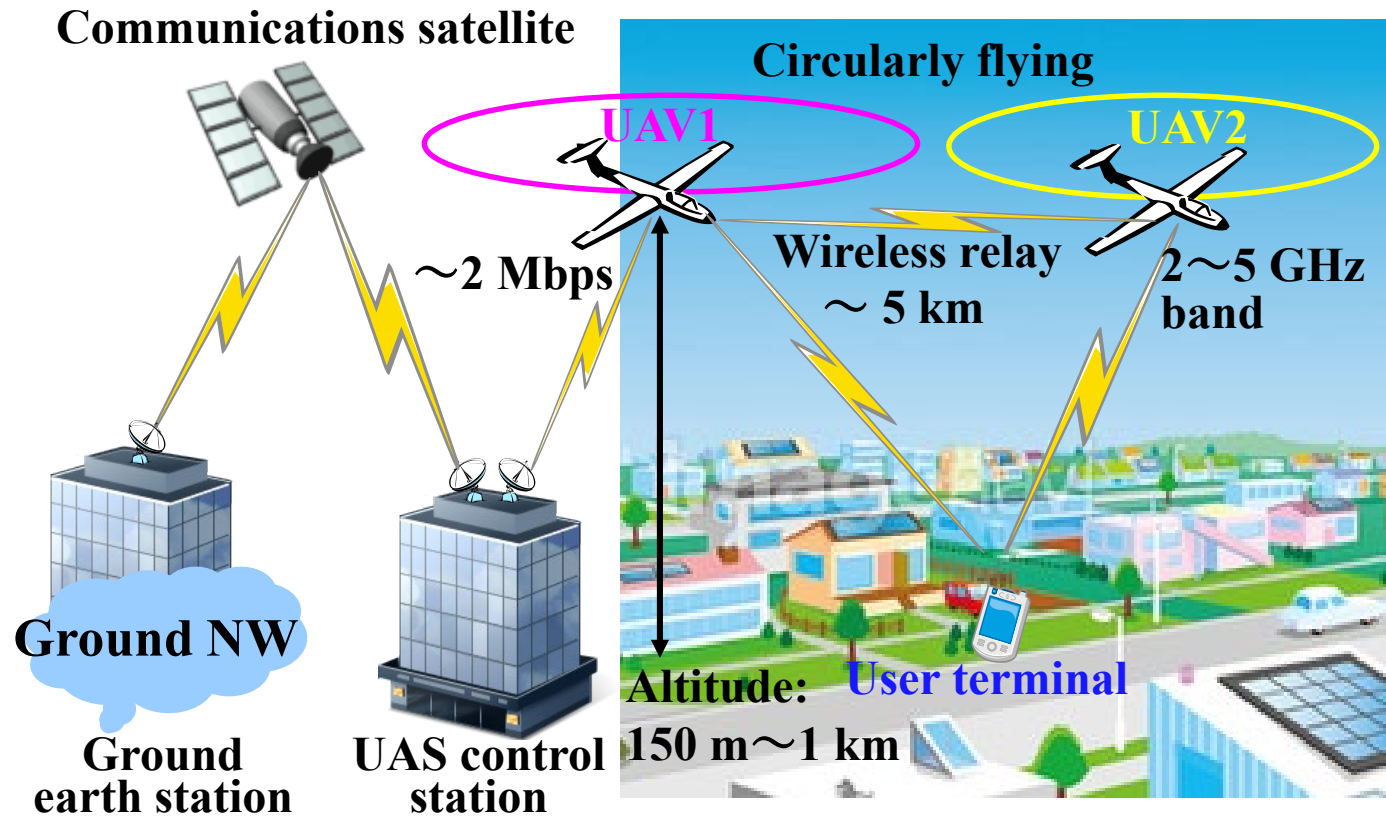
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Background



Example system configuration model for UAS

- Unmanned aircraft system (UAS) provides temporal communication network using unmanned aerial vehicles (UAVs) for emergency and rescue service during disasters.
- Doppler shift is occurred in the carrier frequency of the transmission signals.
- By observing multiple Doppler shifts for UAVs, it is possible to detect the user position.

Proposed methods so far

- **User position detection method using multiple UAVs by observing multiple Doppler shifts at once or single UAV by observing Doppler shift at different times**
 - Computer simulations were conducted based on **least square method** to evaluate the position detection accuracy.
 - Positioning accuracy depends on the number of UAVs, location of UAVs, and other setting parameters for UAVs.
- **Positioning accuracy index (PAI)**
 - For the purpose of investigating an **appropriate arrangement of UAVs**, which can be used as an index for position detection accuracy instead of **DOP** for **GPS**.
- **Maximum positioning error estimation method** based on the **PAI**
 - To estimate the statistical and quantitative performance of the **positioning error distance**.
 - Computer simulations were conducted assuming various types of flying UAVs, such as a circularly orbiting model and a figure-eight route model, to confirm the adequate flight model and the number of UAVs by using **maximum positioning error estimation method**

Purpose of study

- Positioning accuracy could be improved by measuring multiple Doppler shifts **at different local times**, which is equivalent to increasing the number of UAVs.
- Computer simulations are conducted using the **least-squares method** to evaluate positioning accuracy improvement based on **multiple observations of Doppler shift**
 - Assuming **two UAVs** flying with a **figure-eight route model** at **different initial positions**
 - Estimate the position detection accuracy by the **maximum positioning error estimation method** for fundamental analysis
- From the simulation results, it would be confirmed that the Doppler-shift-based position detection method with the **multiple-observation procedure** presents **much better performance** in comparison with the **conventional single-measurement method**.



Position detection method using Doppler shifts

Conceptual model for position detection system using two UAVs

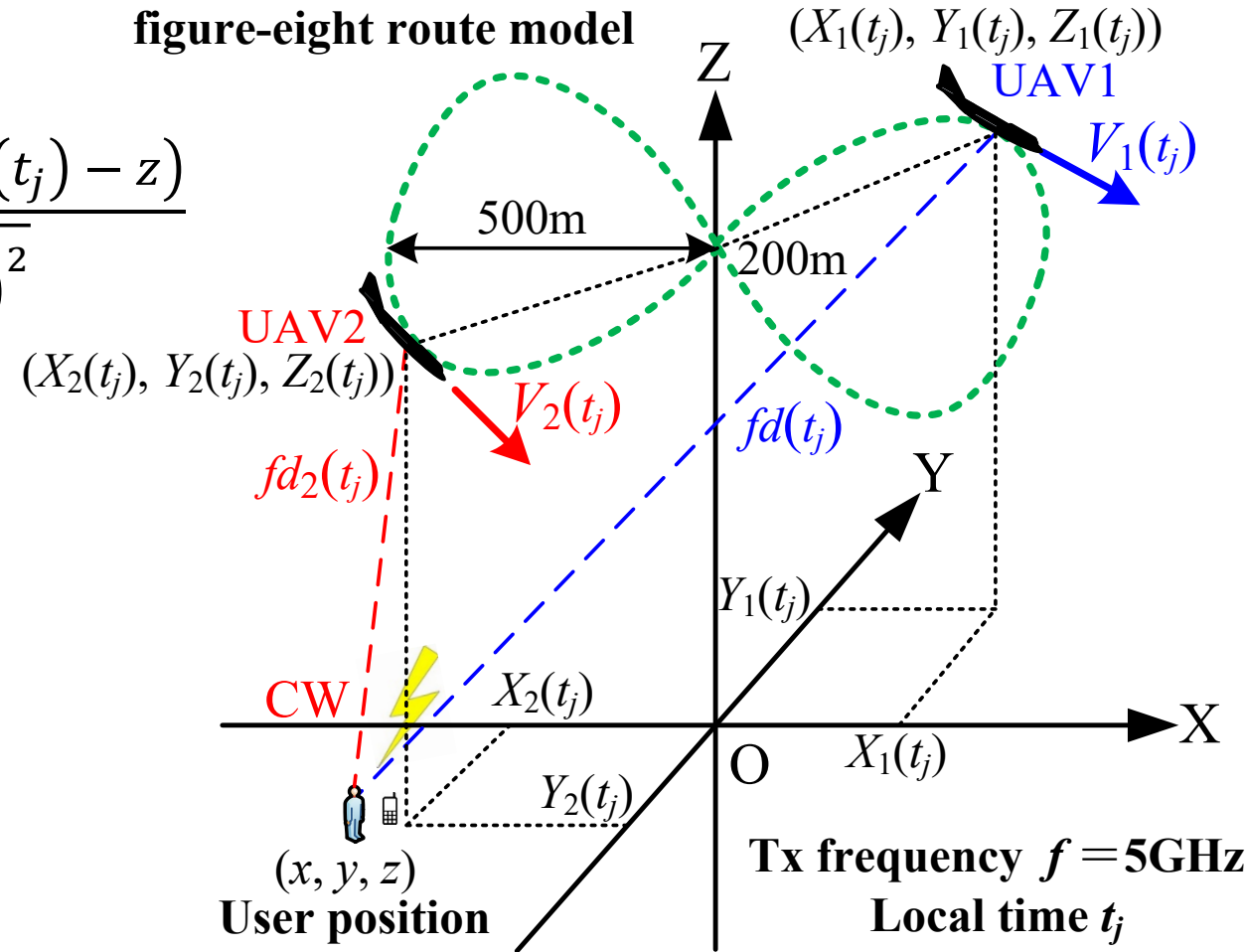
Doppler frequency value $fd_i(t_j)$ observed between UAVs and user at local time of t_j

$$fd_i(t_j) = -\frac{(\mathbf{V}_i(t_j) \cdot \tilde{\mathbf{u}}_i(t_j))}{\lambda} = -\frac{V_{xi}(t_j)(X_i(t_j) - x) + V_{yi}(t_j)(Y_i(t_j) - y) + V_{zi}(t_j)(Z_i(t_j) - z)}{\lambda \sqrt{(X_i(t_j) - x)^2 + (Y_i(t_j) - y)^2 + (Z_i(t_j) - z)^2}}$$

- User position: $\mathbf{u} = (x, y, z)$
- Location vector of the UAV at the local time of “ t_j ”:

$$\mathbf{U}_i(t_j) = (X_i(t_j), Y_i(t_j), Z_i(t_j))$$
- Velocity vector of UAV at “ t_j ” :

$$\mathbf{V}_i(t_j) = (V_{xi}(t_j), V_{yi}(t_j), V_{zi}(t_j))$$
- $\tilde{\mathbf{u}}(t_j) = (\mathbf{U}(t_j) - \mathbf{u})/|\mathbf{U}(t_j) - \mathbf{u}|$:
eigen vector from user position to UAV
- Transmission frequency: 5GHz



Conceptual model for a position detection system using two UAVs with a figure-eight route model

Position detection procedure based on least mean square method

- To perform the least-squares method, it is necessary to partially differentiate equation with respect to x , y , and z , as shown in the following:

$$\left\{ \begin{array}{l} \frac{\partial f d_i(t_j)}{\partial x} = - \frac{-V_{xi}(t_j)r_i(t_j) + (X_i(t_j) - x) s_i(t_j)/r_i(t_j)}{\lambda\{r_i(t_j)\}^2} \\ \frac{\partial f d_i(t_j)}{\partial y} = - \frac{-V_{yi}(t_j)r_i(t_j) + (Y_i(t_j) - y) s_i(t_j)/r_i(t_j)}{\lambda\{r_i(t)\}^2} \\ \frac{\partial f d_i(t_j)}{\partial z} = - \frac{-V_{zi}(t_j)r_i(t_j) + (Z_i(t_j) - z) s_i(t_j)/r_i(t_j)}{\lambda\{r_i(t_j)\}^2} \end{array} \right.$$

$$s_i(t_j) = V_{xi}(t_j)(X_i(t_j) - x) + V_{yi}(t_j)(Y_i(t_j) - y) + V_{zi}(t_j)(Z_i(t_j) - z)$$

$$r_i(t_j) = \sqrt{(X_i(t_j) - x)^2 + (Y_i(t_j) - y)^2 + (Z_i(t_j) - z)^2}$$

Procedure for determining user position based on Doppler shifts

- The following equation indicates the calculated Doppler shift between the user terminal and the i^{th} UAV at a local time t_j , when the initial values of the user position for the least-squares method is set to (x^0, y^0, z^0) .

$$fd_i^0(t_j) = -\frac{V_{xi}(t_j)(X_i(t_j) - x^0) + V_{yi}(t_j)(Y_i(t_j) - y^0) + V_{zi}(t_j)(Z_i(t_j) - z^0)}{\lambda\sqrt{(X_i(t_j) - x^0)^2 + (Y_i(t_j) - y^0)^2 + (Z_i(t_j) - z^0)^2}}$$

- Here, each measured Doppler shift between the user terminal and i^{th} UAV is assumed to be $fdm_i(t_j) = fd_i(t_j) + \Delta fdre_i(t_j)$, where $\Delta fdre_i(t_j)$ represents the variation in the Doppler shift, including that caused by the error in the location control of a UAV. Thus, the residual error, $\Delta fd_i(t_j)$, between the measured and calculated Doppler shifts can be derived as follows:

$$\Delta fd_i(t_j) = fdm_i(t_j) - fd_i^0(t_j)$$

Position detection procedure based on least mean square method

- To eliminate $\Delta f d_i(t_j)$, the initial value (x^0, y^0, z^0) should be changed to $(x^1, y^1, z^1) = (x^0 + \Delta x, y^0 + \Delta y, z^0 + \Delta z)$, where Δx , Δy , and Δz are the changing values for the least-squares method.
- $\Delta f d_i(t_j)$ can be expressed in terms of these variables by the following simultaneous equation:

$$\Delta f d_i(t_j) = \frac{\partial f d_i(t_j)}{\partial x} \Delta x + \frac{\partial f d_i(t_j)}{\partial y} \Delta y + \frac{\partial f d_i(t_j)}{\partial z} \Delta z$$

Position detection procedure based on least mean square method

- To solve equation, determinant matrices of G and H are expressed as the following when the number of UAVs is two ($i = 1, 2$) and when multiple observations are made for the Doppler shift at a local time $t = t_k$ and t_{k+1} ($j = k, k + 1$):

$$G = \begin{bmatrix} \frac{\partial f d_1(t_k)}{\partial x} & \frac{\partial f d_1(t_k)}{\partial y} & \frac{\partial f d_1(t_k)}{\partial z} \\ \frac{\partial f d_2(t_k)}{\partial x} & \frac{\partial f d_2(t_k)}{\partial y} & \frac{\partial f d_2(t_k)}{\partial z} \\ \frac{\partial f d_1(t_{k+1})}{\partial x} & \frac{\partial f d_1(t_{k+1})}{\partial y} & \frac{\partial f d_1(t_{k+1})}{\partial z} \\ \frac{\partial f d_2(t_{k+1})}{\partial x} & \frac{\partial f d_2(t_{k+1})}{\partial y} & \frac{\partial f d_2(t_{k+1})}{\partial z} \end{bmatrix}$$

$$H = [\Delta x \ \Delta y \ \Delta z]^T$$

Position detection procedure based on least mean square method

- From the matrices G , Δx , Δy , and Δz values for the least-squares method can be derived as:

$$[\Delta f d_1(t_k) \Delta f d_2(t_k) \Delta f d_1(t_{k+1}) \Delta f d_2(t_{k+1})]^T = F^T = GH$$

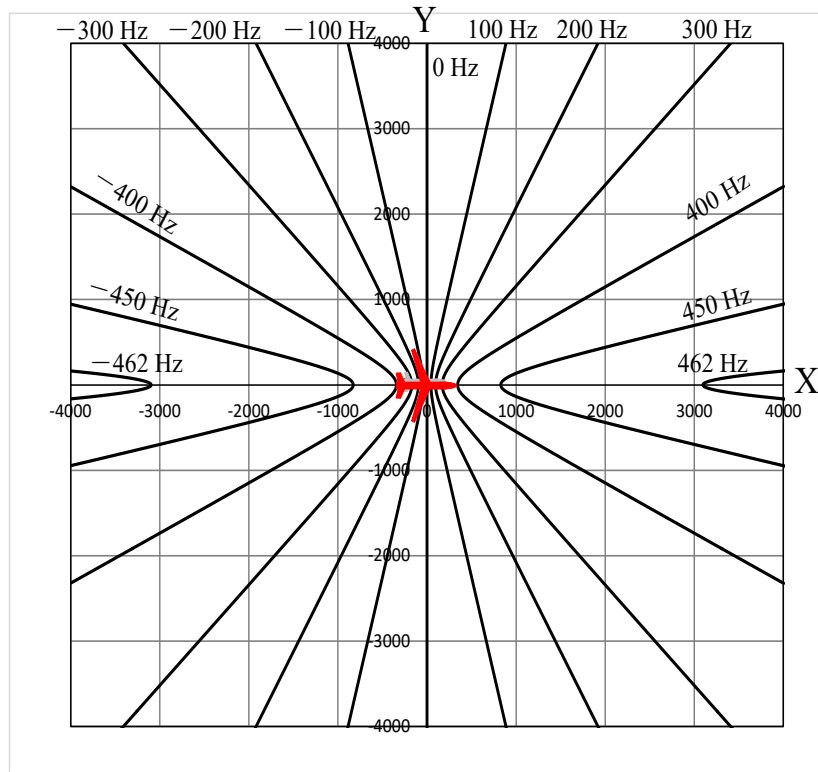
$$H = (G^T G)^{-1} G^T F^T$$

- The following operation is executed repeatedly until the values of Δx , Δy , and Δz are sufficiently close to zero:

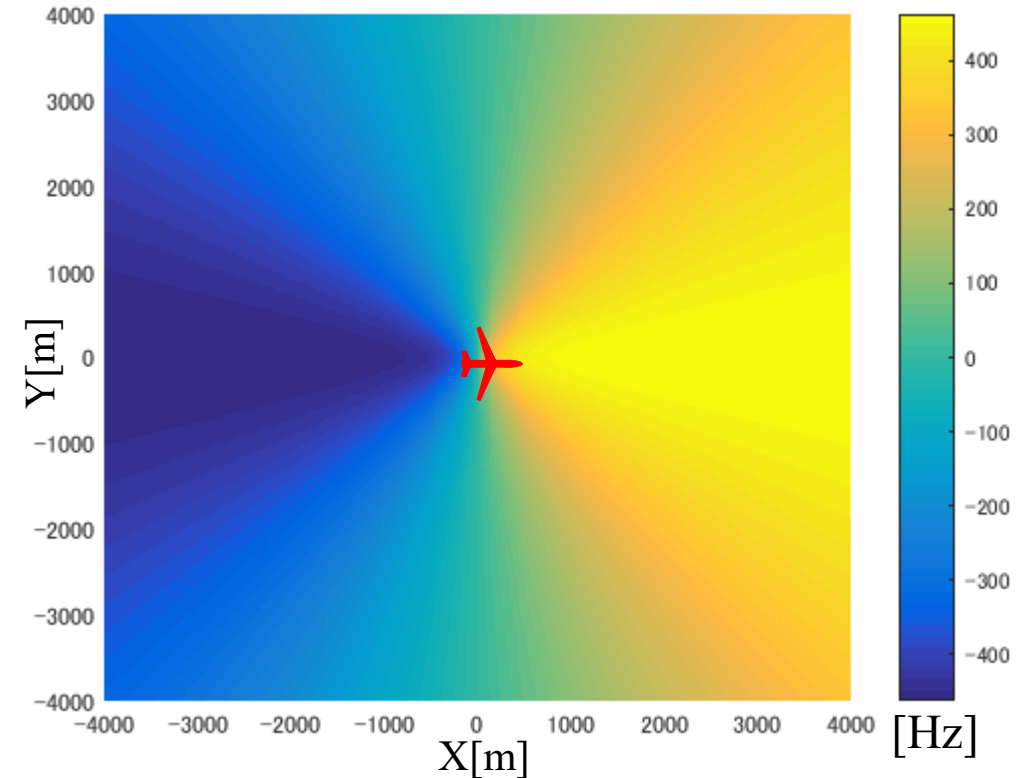
$$\begin{cases} x^1 = x^0 + \Delta x \\ y^1 = y^0 + \Delta y \\ z^1 = z^0 + \Delta z \end{cases} \Rightarrow \begin{cases} x^2 = x^1 + \Delta x \\ y^2 = y^1 + \Delta y \\ z^2 = z^1 + \Delta z \end{cases} \dots \Rightarrow \begin{cases} x^n = x^{n-1} + \Delta x \\ y^n = y^{n-1} + \Delta y \\ z^n = z^{n-1} + \Delta z \end{cases}$$

Example of Doppler shift distribution

- Velocity of UAV V : 100 km/h (27.7m/s)
- Flight position of UAV: $(X_i, Y_i, Z_i) = (0, 0, 200)$ [m]
- Direction of UAV: identical to the positive direction of the X axis
- Carrier frequency: $f_C = 5$ GHz



Discrete distribution of Doppler shift on XY-plane for single UAV



Continuous distributions of Doppler shift on XY-plane



**Positioning accuracy index
(PAI) using gradient vector
based on Doppler shift**

Definition of positioning accuracy index (PAI)

- Absolute value of cosine of the inner product between two **gradient vectors** on the hyperboloid surface is defined as the **positioning accuracy index (PAI)** to express an **influence of constellation of UAV** at local time t_j for the i^{th} UAV is expressed by the following:
- A **gradient vector** $\nabla f d_i(t_j)$ of the hyperboloid surface, each of which is formed based on a measured **Doppler shift**, at an **arbitrary point** (x, y, z) in the **evaluation target area** is expressed as

$$\nabla f d_i(t_j) = \frac{\partial f d(t_j)}{\partial x} \mathbf{i} + \frac{\partial f d(t_j)}{\partial y} \mathbf{j} + \frac{\partial f d(t_j)}{\partial z} \mathbf{k} \quad \textbf{Tangent vector}$$

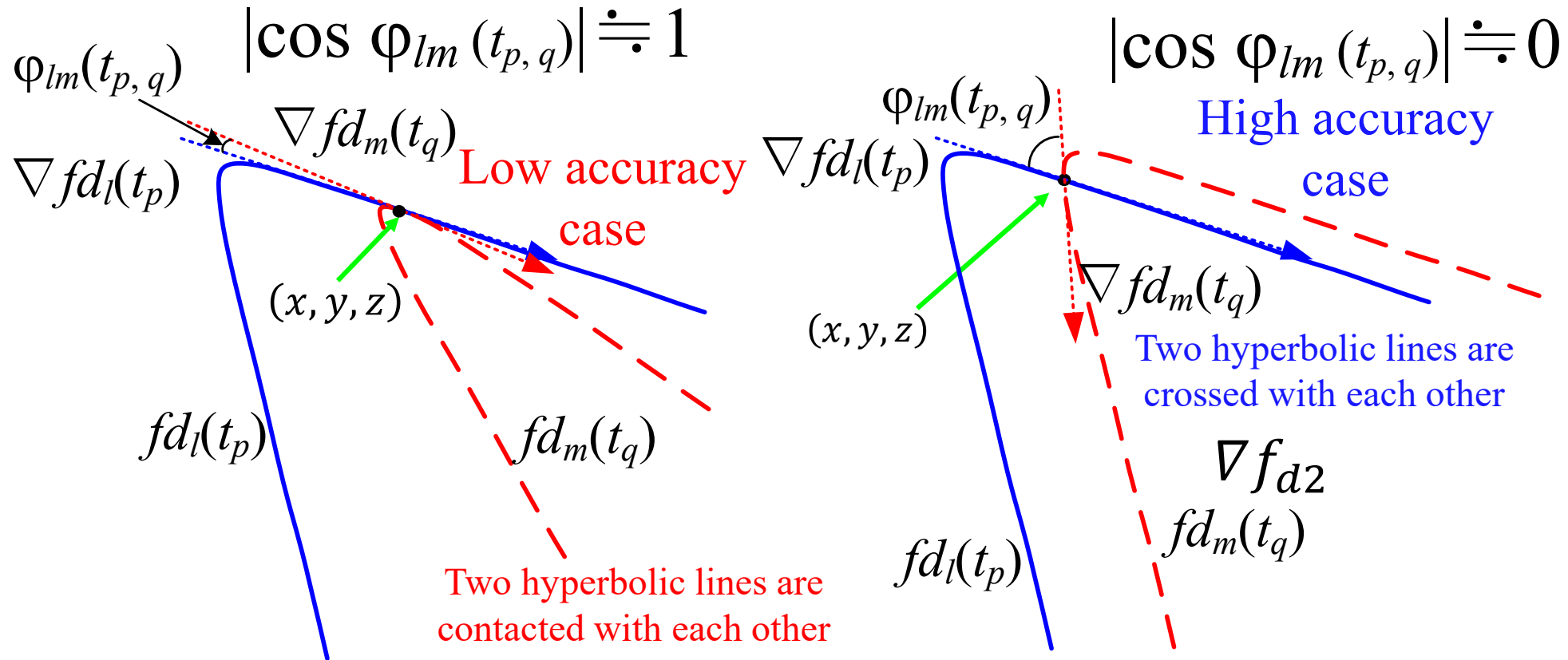
- Absolute value of cosine of the inner product between two gradient vectors formed by Doppler shifts for the l^{th} and m^{th} UAV at a local time $t = t_p$ and $t = t_q$ for (x, y, z) is defined as:

$$|\cos \varphi_{lm}(t_{p,q})| = \frac{|\nabla f d_l(t_p) \cdot \nabla f d_m(t_q)|}{|\nabla f d_l(t_p)| |\nabla f d_m(t_q)|}$$

PAI

$\varphi_{lm}(t_{p,q})$: An angle between two gradient vectors $\nabla f d_l(t_p)$ and $\nabla f d_m(t_q)$

Relationship between PAI and positioning error




Positioning Accuracy Index
(PAI)

$$|\cos \varphi_{lm}(t_{p,q})| = \frac{|\nabla fd_l(t_p) \cdot \nabla fd_m(t_q)|}{|\nabla fd_l(t_p)| |\nabla fd_m(t_q)|}$$

$$\nabla fd_i(t_j) = \frac{\partial fd(t_j)}{\partial x} \mathbf{i} + \frac{\partial fd(t_j)}{\partial y} \mathbf{j} + \frac{\partial fd(t_j)}{\partial z} \mathbf{k}$$

$$\cos \theta = 0.95$$

$$\Rightarrow \theta = 18.2^\circ$$

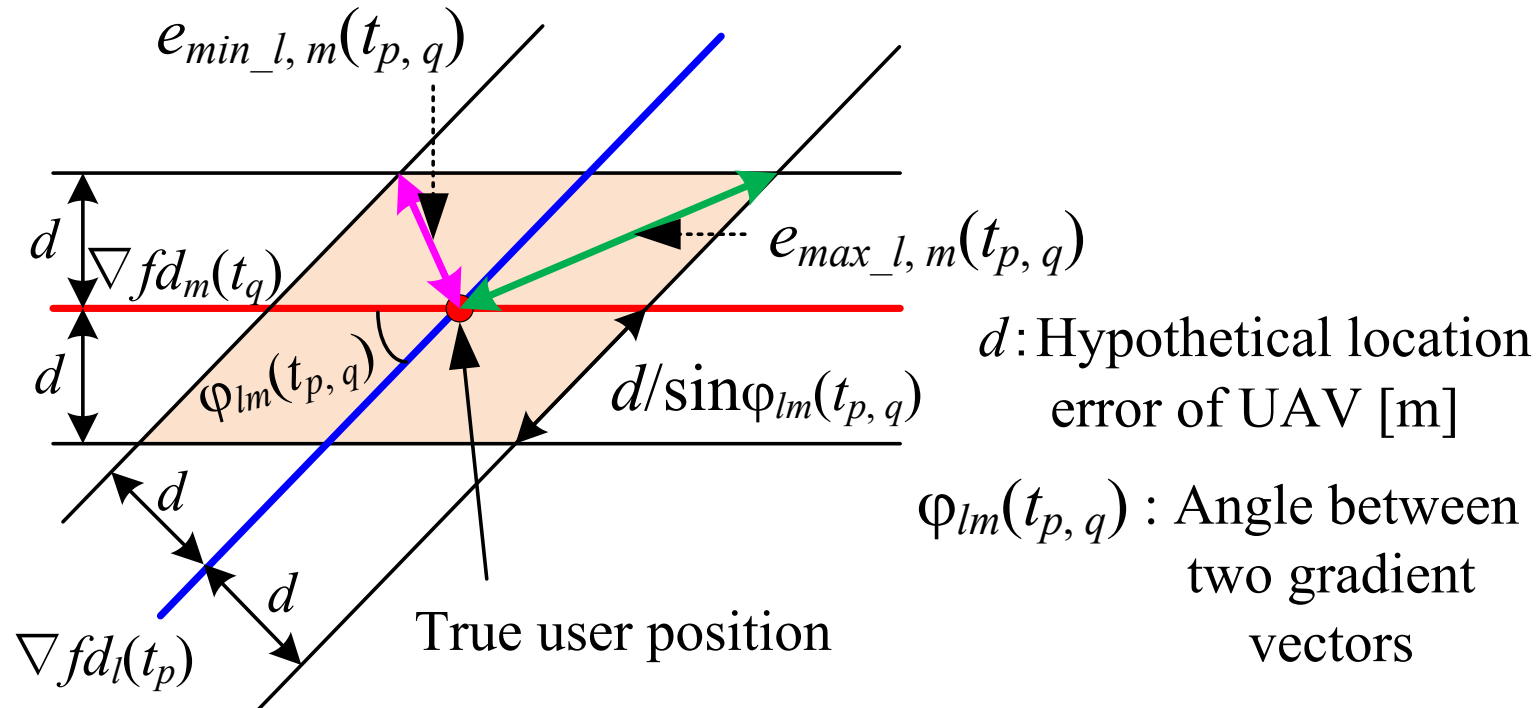



Maximum and minimum positioning error estimation method

Definition of positioning error estimation method

- Relationship between **maximum and minimum estimated positioning errors** and an angle of $\varphi_{lm}(t_{p,q})$ when a **location error** of the UAV is assumed to be a constant value of d [m]
- Maximum and minimum positioning errors and are estimated as following equations:

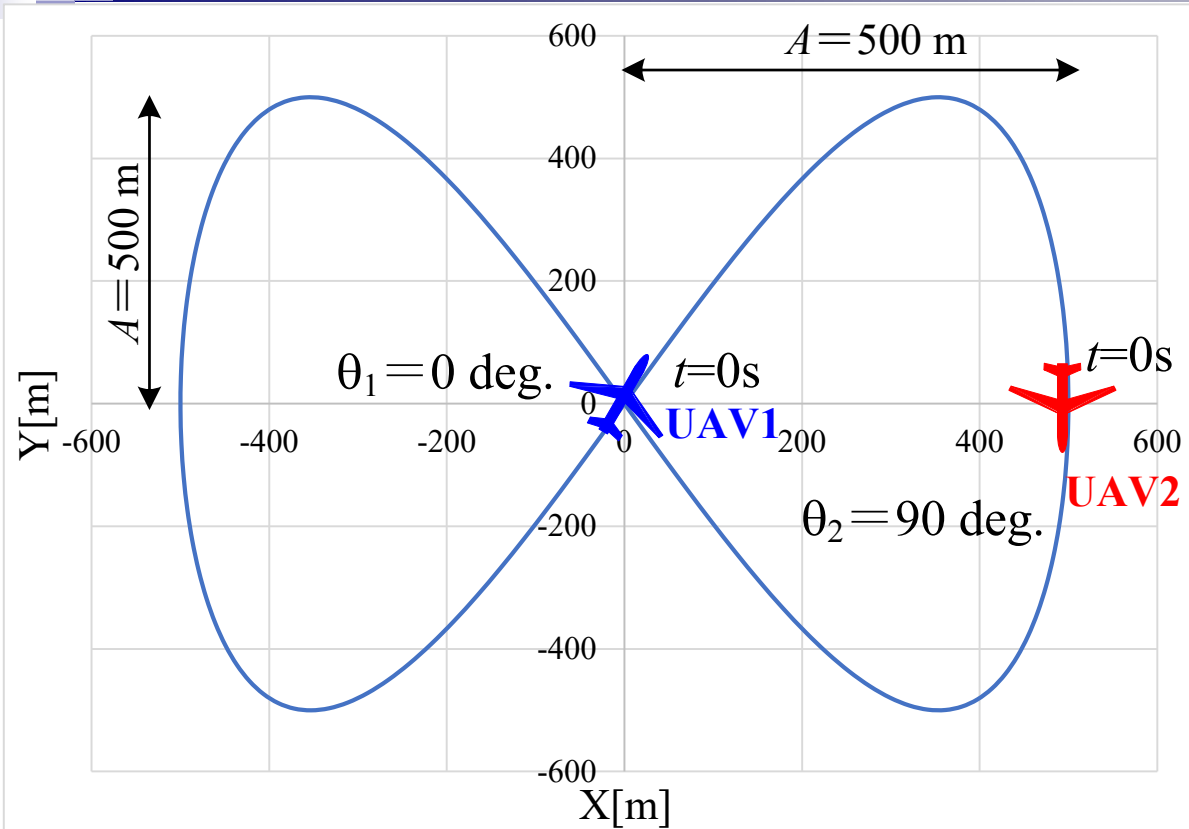
$$e_{\max_l,m}(t_{p,q}) = \frac{2d \cos(|\varphi_{lm}(t_{p,q})|/2)}{\sin|\varphi_{lm}(t_{p,q})|}, \quad e_{\min_l,m}(t_{p,q}) = \frac{2d \sin(|\varphi_{lm}(t_{p,q})|/2)}{\sin|\varphi_{lm}(t_{p,q})|}$$



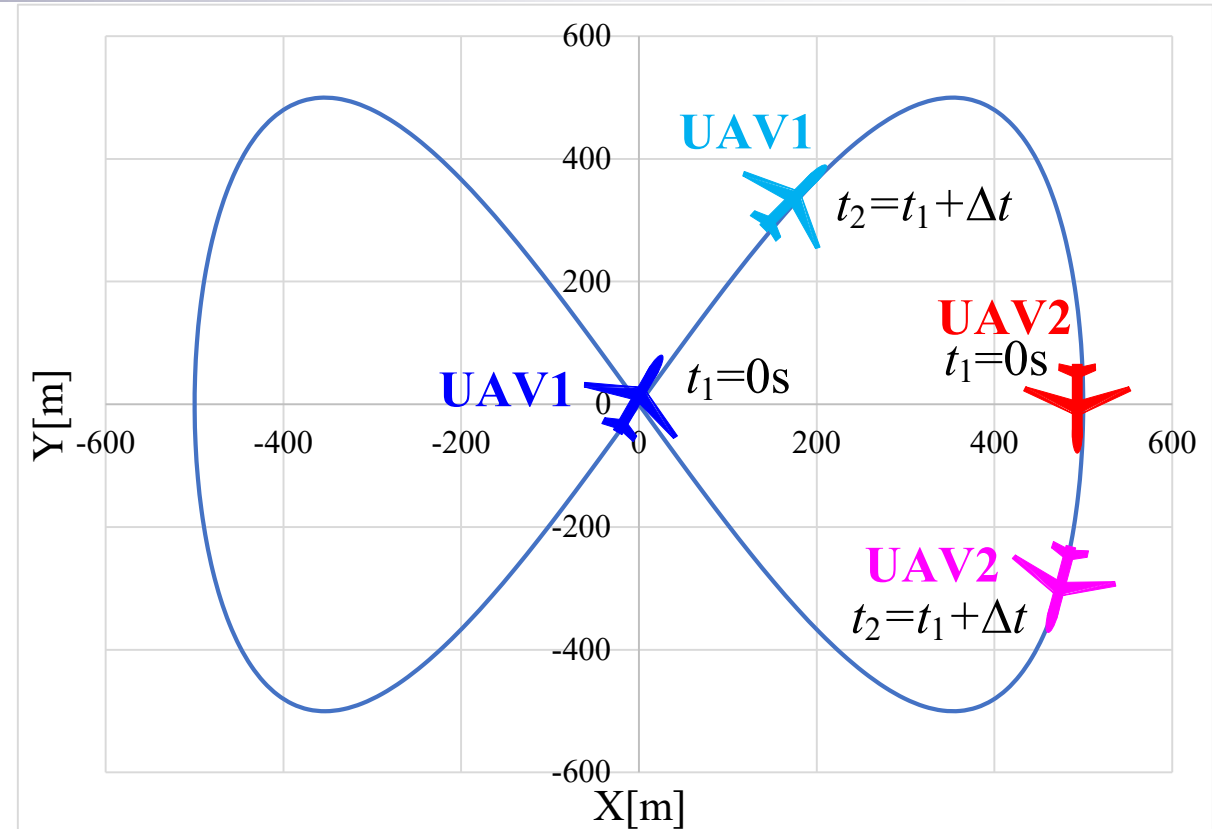


Simulation model and simulation condition

Simulation model



Initial locations of two UAVs



Relationship between multiple observations of Doppler shift and locations of two UAVs

- Velocity $V = 100 \text{ km/h}$
- Carrier frequency $f_c = 5 \text{ GHz}$
- Altitude of UAV is 200 m
- Evaluation duration for the simulation is $T = 2\pi r / v$ where the distance $r = 500 \text{ m}$
- Evaluation target area: 64 km^2 ($8 \times 8 \text{ km}$ on the XY-plane)
- Evaluation points = 641,601 points (evaluation interval: 10 m for XY)

Explanations of location of UAV

- Location vector, $\mathbf{U}_i(t_j) = (X(t_j), Y(t_j), Z(t_j))$, can be expressed as:

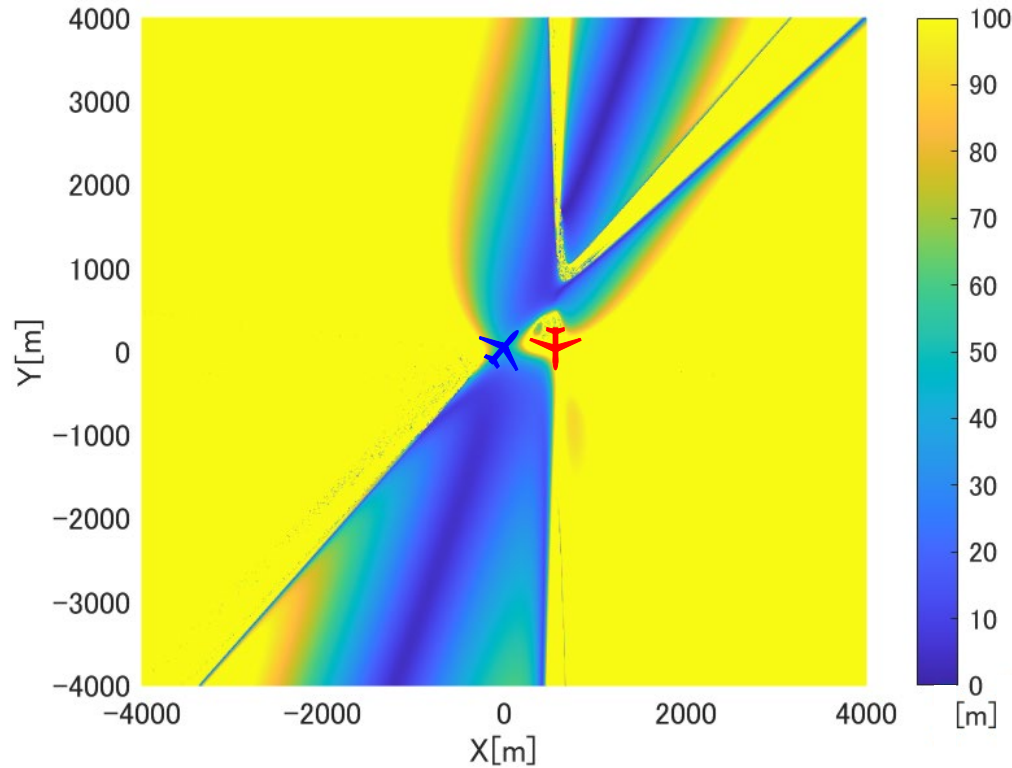
$$\begin{cases} X_i(t_j) = A \sin\{\omega_i(t_j) + \theta_i\} + X_{i0} \\ Y_i(t_j) = A \sin\{2\omega_i(t_j) + 2\theta_i\} + Y_{i0} \\ Z_i(t_j) = Z_{i0} \end{cases}$$

- (X_{i0}, Y_{i0}, Z_{i0}) : center position, θ_i : initial phase, $\omega_i(t_j)$: angular velocity of the i^{th} UAV
- In this study, the above parameters are set to $(X_{10}, Y_{10}, Z_{10}) = (X_{20}, Y_{20}, Z_{20}) = (0, 0, 200)$, $\theta_1 = 0^\circ$, $\theta_2 = 90^\circ$, and $\omega_i(t_j) = v/A = 27.78/500 \cong 5.56 \times 10^{-2} [\text{rad/s}]$
- The observation interval of Doppler shift : $\Delta t = t_{k+1} - t_k$, where t_k and t_{k+1} indicate the **successive two-measurement local times** at $j = k$ and $j = k + 1$, respectively.
- **Position-control error of +0.02 rad**, which is equivalent to a location error of about **10 m** for a UAV, is simply added to the phase part of the flight position of UAV1 and UAV2 to evaluate the influence of UAV position-control error that causes measurement error in the Doppler shift.

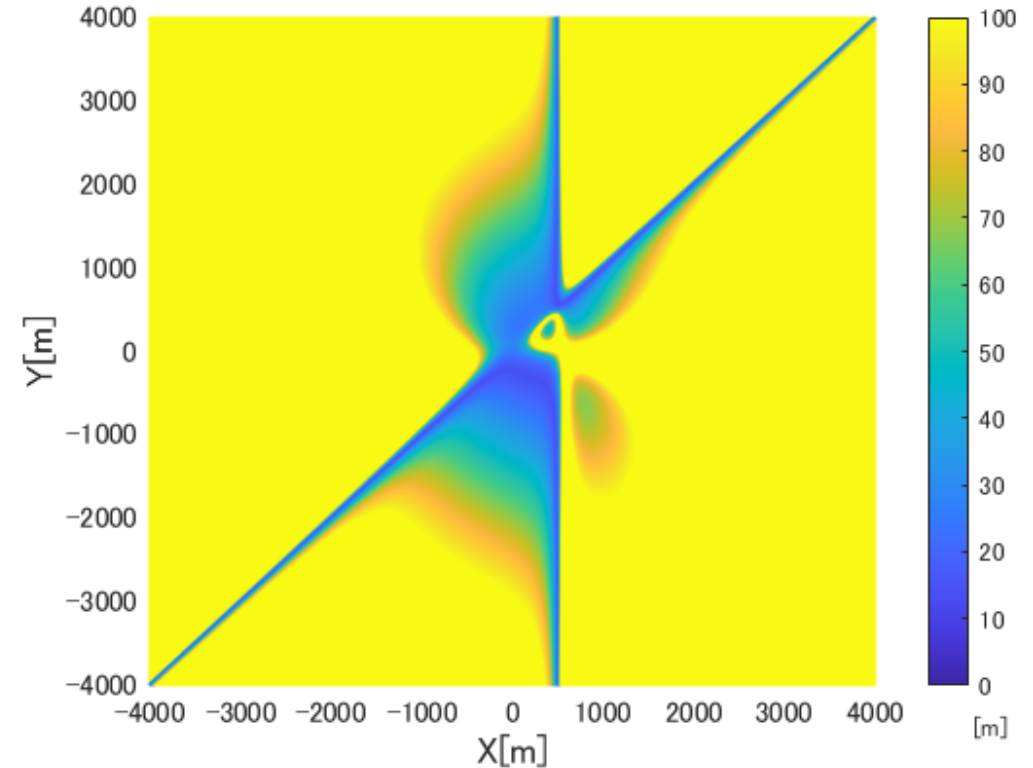


Simulation results

Positioning error distribution of conventional method when $t = 0$ s

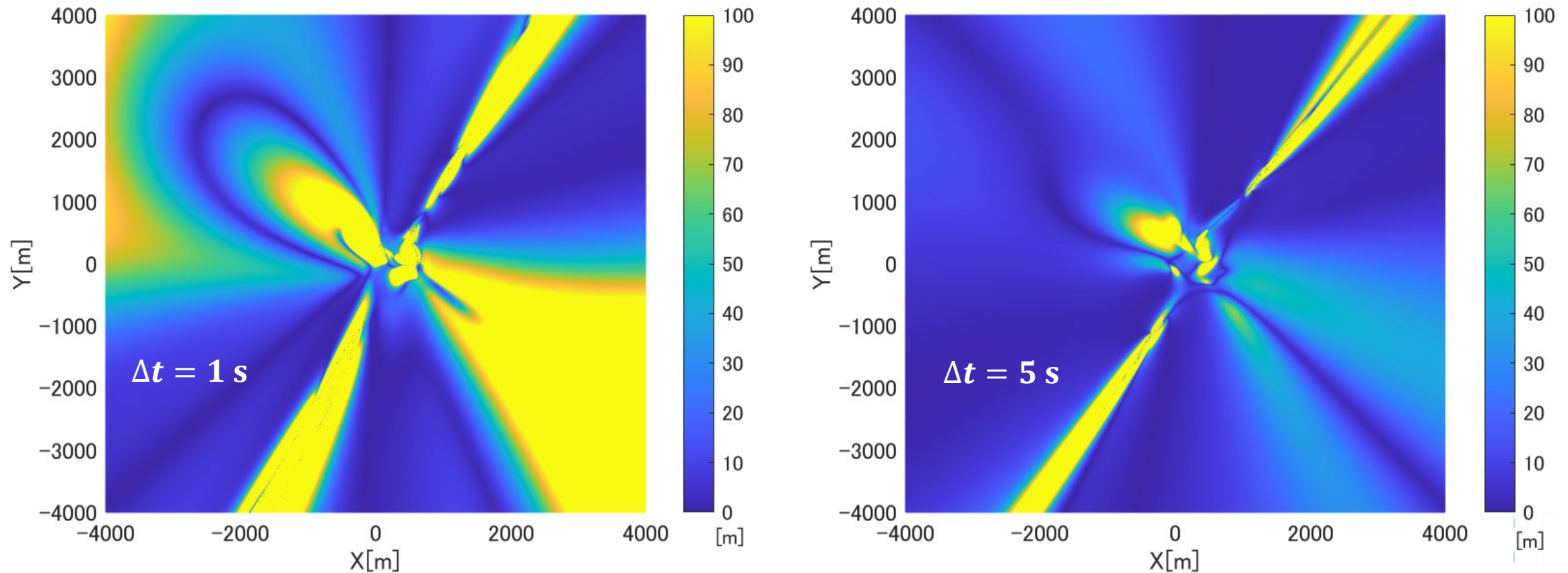


- **Blue:** high accuracy
- **Yellow:** low accuracy
- Large positioning errors occurred in almost all of the evaluation target area when the **least mean square method** was applied



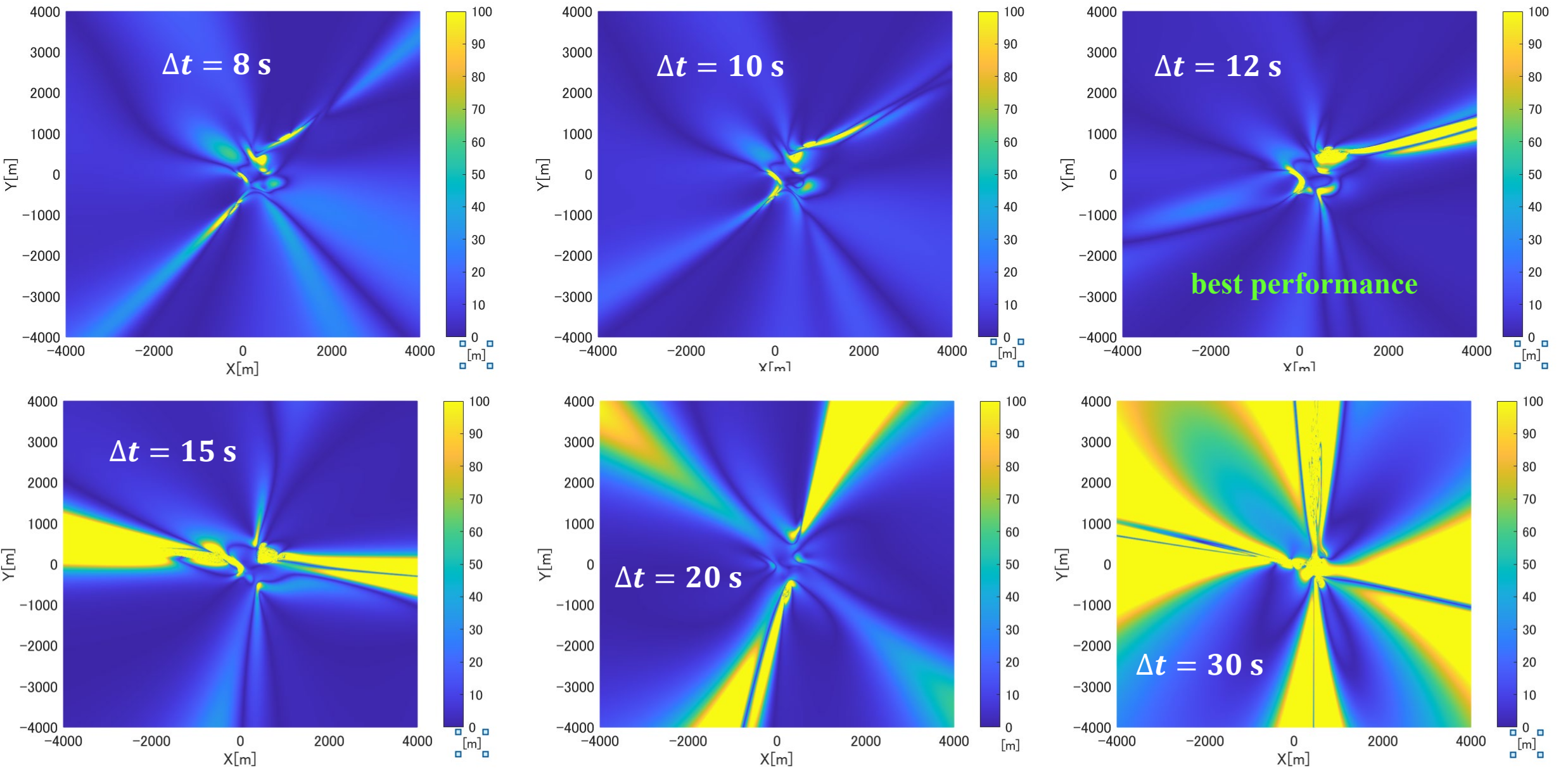
- **Maximum estimated positioning error** for the **conventional method** ($d = 10$ m)
- Maximum estimated positioning error covers the distribution features of the result of least mean square method
- **High correlation** exists between these performances

Error distributions of the proposed position detection procedure

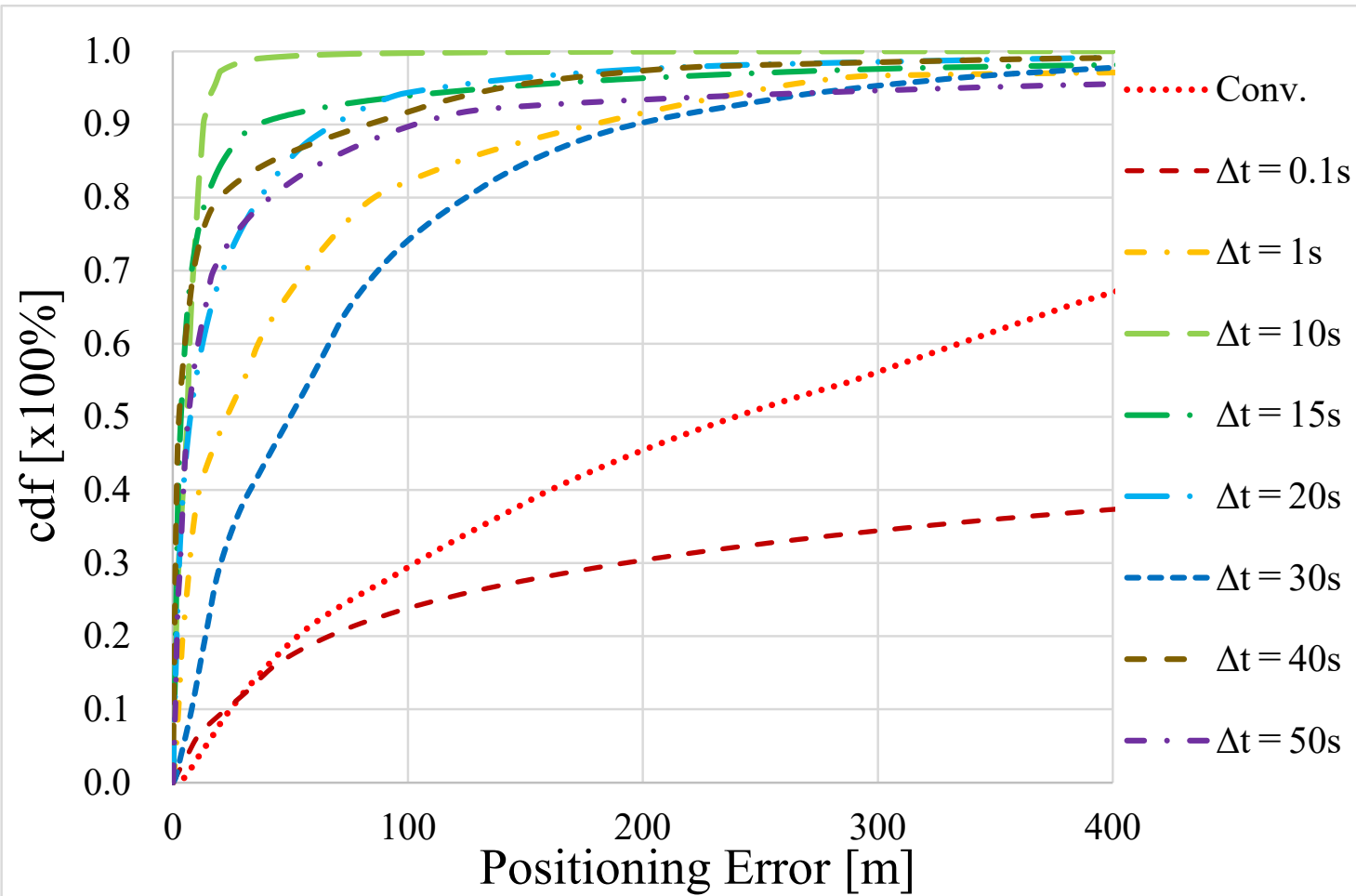


- By applying the **multiple-observation method**, the position detection accuracy is improved in accordance with the Doppler shift observation interval Δt .
- The area with large positioning error shrinks, even though the observation interval is just **1.0 s**

Error distributions of the proposed position detection procedure



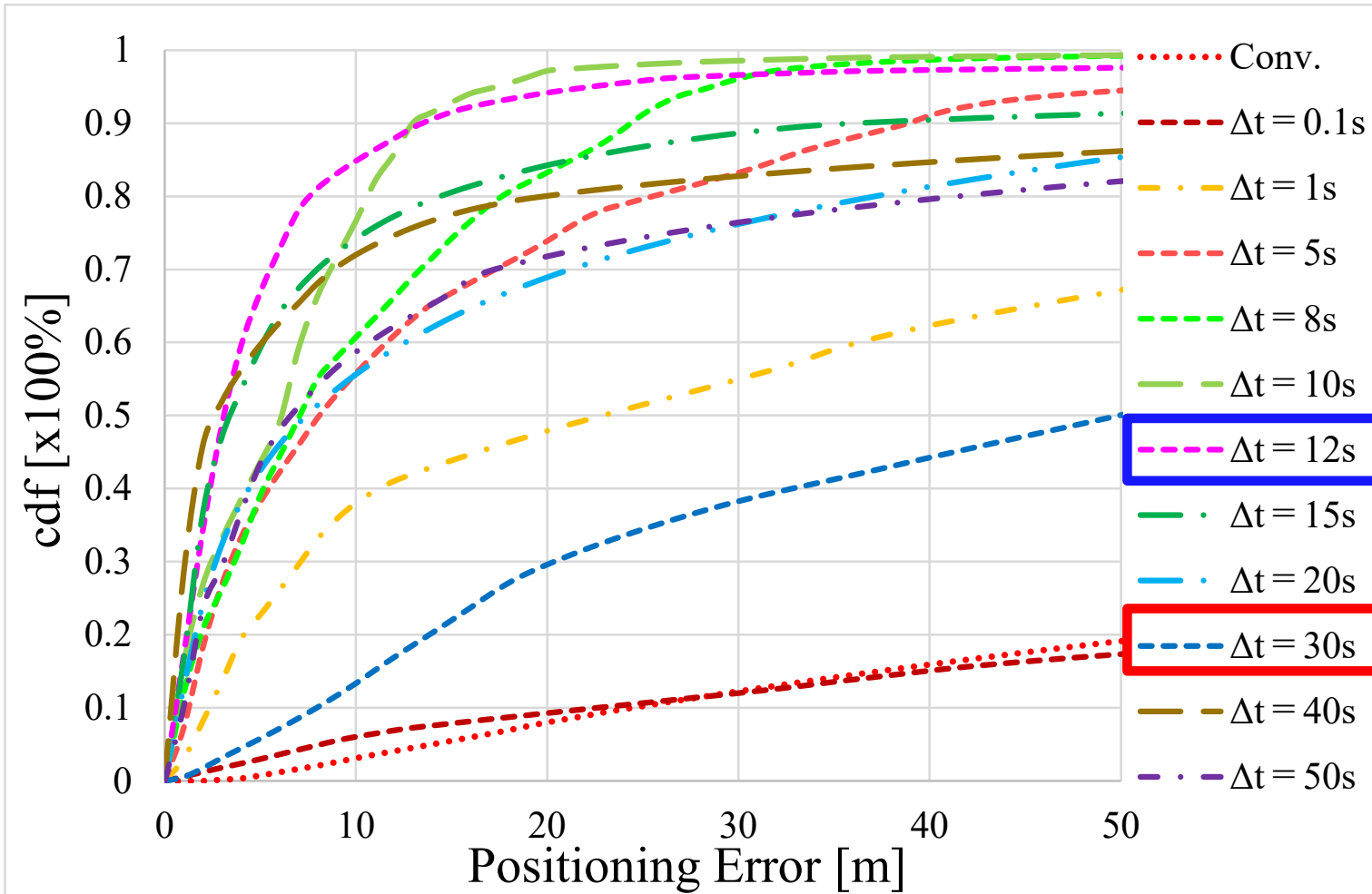
CDF performance of position detection error with different Δt



- CDF of the conventional method is worse than the multiple-observation method and exhibits almost the same performance as the case of $\Delta t = 0.1 s$.
- CDF performance of the positioning error improves in accordance with Δt because the distributions of the Doppler shift for each UAV become different when Δt becomes large.
- Locations of UAVs get close when Δt approaches approximately $28 s$, which is quarter the amount of the circular duration of $113 s$, and then the positioning accuracy gets worse.

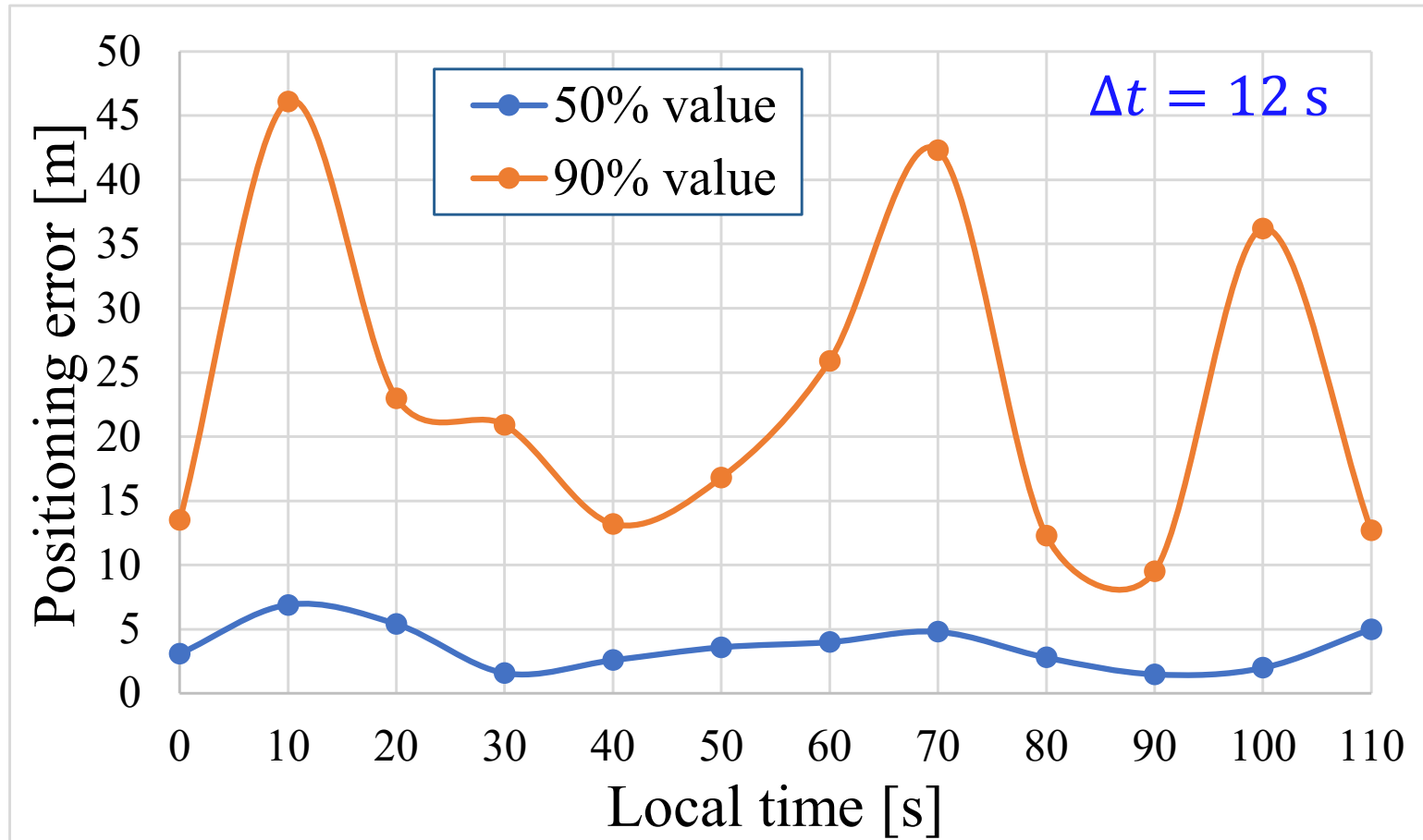
CDF performance of position detection error with different Δt

expanded version



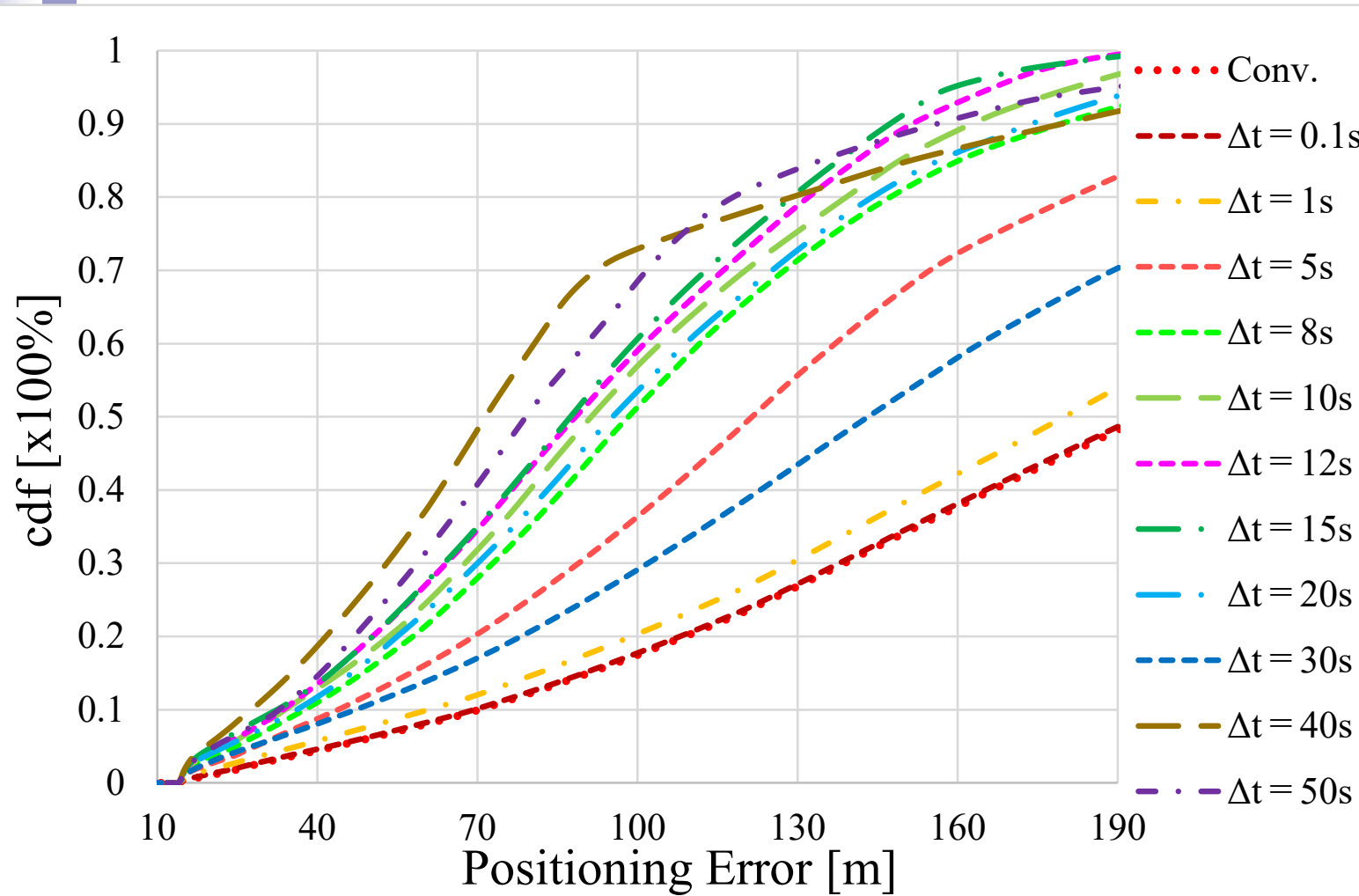
- The graph of $\Delta t = 12 s$ presents the **best performance** and the graph of $\Delta t = 30 s$ indicates the **worst performance** in the range from Δt of 1 to 50 s for the multiple Doppler shift measurement method.
- Observation interval of the Doppler shift would be $\Delta t = 12 s$ in the figure-eight route model and initial positions of UAV1 and UAV2 for simulation evaluation

Performance of the positioning error with CDF = 50% and 90%



- For the performance of **CDF = 50%**, position detection errors show **very good performance** and they change between **$\sim 2-7$ m** over time.
- For the performance of **CDF = 90%**, the position detection error fluctuates between **$\sim 10-45$ m** depending on the constellation of the UAVs at t_k and t_{k+1} .
- **The worst result is much better than the case of the conventional method.**

CDF performance of the maximum estimated positioning error



CDF performance of the maximum estimated positioning error with different observation intervals, Δt , when the location error of the UAV, when $d = 10$ m.

Minimum value of $e_{max_l,m}(t_{p,q})$ among the case of $(l, m, p, q) = \{(1, 2, k, k), (1, 2, k + 1, k + 1), (1, 2, k, k + 1), (1, 2, k + 1, k), (1, 1, k, k + 1), (2, 2, k, k + 1)\}$ is simply selected as the representative of $e_{max_l,m}(t_{p,q})$ for the Doppler-shift multiple-observation method.

Position detection accuracy is improved when Δt gets larger and the CDFs of $\Delta t \approx 10-15$ s show almost the best performance compared to other observation intervals.

Conclusion

- Computer simulations were conducted to evaluate a **positioning accuracy improvement effect** based on **multiple observations of Doppler shift** using the least-square method.
- Estimated the position detection accuracy by the **maximum positioning error estimation method** for fundamental analysis.
- Doppler-shift-based position detection method with the **multiple-observation procedure presents much better performance in comparison with the conventional single-measurement method**.
- CDF performance of the simulation model shows good performance when the Doppler shift observation interval, Δt , is larger than 1s and shows the **best performance** in the case of $\Delta t = 12 \text{ s}$ at any local time.
- In the future, further investigations will be conducted with the aim of designing the best flight route for the proposed multiple-observation method.
- Further, environmental degrading conditions will be considered to clarify the practicality of the proposed position detection system using multiple Doppler shifts in the UAS.

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**THANK YOU FOR YOUR
KIND ATTENTION**